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## Original Article

# Study of pain response in neonates during venipuncture with a view to analyse utility of topical anaesthetic agent for alleviating pain

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## ABSTRACT

**Background:** Neonates being nonverbal are unable to express their pain leading to underestimation of their pain and hence insufficient pain relief. Neonatal pain is assessed by pain scales based on the behavioural and physiological changes that occur in response to painful stimuli. This cross sectional study was conducted at a tertiary care centre using Premature Infant Pain Profile (PIPP) score with 4% lidocaine as local anaesthetic agent to produce surface anaesthesia of skin prior to intravenous cannulation.

**Methods:** Sample size was collected by simple randomisation method. Our study groups included 50 term and 50 preterm neonates with POG of 28–40 weeks requiring IV cannulation. Heart rate (HR), SpO<sub>2</sub>, facial expressions and behavioural state were noted before venipuncture and after venipuncture using PIPP scale. Same cohort of patients was assessed for pain response after applying 4% lidocaine cream during future venipuncture with help of PIPP score.

**Results:** The PIPP score in preterm group before and after anesthesia was  $11.28 \pm 3.72$  and  $9.58 \pm 3.39$ . PIPP score in term group before and after anesthesia was  $11.54 \pm 2.84$  and  $9.04 \pm 2.97$ . There was reduction in mean PIPP score after using topical anesthetic agent in both study groups and the results were statistically significant.

**Conclusion:** This study found that topical anesthetic agents were effective in reducing pain during venipuncture. Based on the facts of the study, it is recommended that pain scoring should be a part of routine monitoring in neonatal intensive care units and appropriate measures should be used to reduce pain.

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## Introduction

Neonates being nonverbal are unable to express their pain leading to underestimation of their pain and hence insufficient pain relief.<sup>1</sup> Pain may adversely affect neurodevelopment and cause permanent injuries to the growing brain.<sup>2,3</sup> Various pain scales have been developed to measure neonatal pain objectively. These scales are based on changes in physiological responses and certain behavioural cues the infant displays on exposure to pain.<sup>4,5</sup>

The commonly used pain measuring scales are: Premature Infant Pain Profile (PIPP), Neonatal Facial Coding System (NFCS) and Neonatal Infant Pain Scale (NIPS).<sup>4-6</sup> PIPP is a validated pain scale for both term and preterm babies and is based on behavioural and physiological changes they display in response to a painful stimulus. Pain relief measures are commonly used for neonates during and after a major surgical procedure,<sup>7</sup> but pain-reducing therapies are often underused for the numerous minor procedures.<sup>8,9</sup> In the past, non pharmacological measures like music therapy, singing and cuddling the neonates, etc. were tried to reduce neonatal pain. However, many studies have found that various topical anaesthetic agents can also be tried to reduce pain from minor procedures such as venipuncture and lumbar puncture.<sup>10-12</sup> 4% lidocaine chemically is 2-diethylaminoaceto-2,6-xylidide. It acts by blocking nerve impulse conduction by interfering with voltage dependent sodium channels, hence preventing initiation and transmission of nerve impulses. Being a topical drug, its safety level is very high. 4% lidocaine cream has several advantages including faster onset of action and no risk of methemoglobinemia in preterm infants.

Each neonatal unit should have more humane approach towards neonatal pain and hence develop strategies to provide effective pain relief for all procedures.<sup>10,13,14</sup> The purpose of this study was to focus on measuring pain responsiveness using PIPP score and evaluating the analgesic effects of topical anaesthetic agent (4% lidocaine cream) with a view to provide adequate pain management during period of NICU stay.

## Material and methods

This study was conducted as a cross sectional study in the Department of Pediatrics and Neonatology at a tertiary care centre. The sample size was collected by simple random sampling. The study comprised of 100 neonates (50 preterm and 50 term) admitted in NICU during two calendar years. Consent of parents was sought before inclusion in this study.

This study had the approval of hospital ethical committee.

Inclusion criteria:

1. Birth at 28–40 weeks of gestation.
2. Neonates requiring IV cannulation.

Exclusion criteria:

1. Neonates on mechanical ventilation.
2. Neonates with multiple congenital anomalies.

3. Neonates with neurological disorder.
4. Neonates born with birth trauma.

Once the candidacy for the study was established, the study population was grouped into two sets: preterm and term group after assessing gestational age as per New Ballard Score (Fig. 1).

The steps followed were as under:

1. Scoring the behavioural state and facial expression as per PIPP guidelines (as shown in Fig. 2) before venipuncture by observing the infant for 30 s.
2. Recording the baseline heart rate and oxygen saturation before the venipuncture.
3. Observing the infant for 30 s immediately following venipuncture and scoring facial and physiological changes (HR and SpO<sub>2</sub>) seen during this time and recording immediately.
4. Infant's behavioural responses to pain was recorded using a video camera (model: DSCH-20, Sony).
5. The video recordings and monitor records of the infants in both groups were analysed to generate data as per proforma for the study (Fig. 2).
6. Pain response was assessed without local anaesthesia using PIPP score at the first venipuncture done after admission in neonatal intensive care unit.
7. Since admitted neonates often required multiple venipunctures during the period of NICU stay, same cohort of patients was assessed for pain response using topical anaesthetic agent used in this study, i.e. 4% lidocaine cream during next venipuncture with help of PIPP score.
8. After examining the infant, suitable site for the procedure was selected ensuring the intactness of skin. Lidocaine cream was applied to the selected site in single layer to cover an area of 2.5 cm × 2.5 cm and left in situ for 30 min. Lidocaine application was left undisturbed using occlusive dressing (Tegaderm) to prevent disturbance/interference by the patient or external factors. Since anaesthesia is usually obtained within 30 min of application, the cream was removed from the site using clean gauze swab. Venipuncture was done within 5 min after removing the cream and response to pain studied using PIPP scores in neonate.
9. Interpretation of PIPP score was as under:  
Minimum score was zero and maximum score was 21. The higher the score the greater the response to pain.
10. The results of the study were statistically analysed in detail by using Student's-t test (paired) and Chi-square test. The results of the study were considered statistically significant if *p* value was less than 0.05, highly significant if less than 0.01, and very highly significant if less than 0.001.

## Results

Average period of gestation (mean ± 2SD) in preterm group was 33.08 ± 2.41 weeks and term group was 37.52 ± 0.73

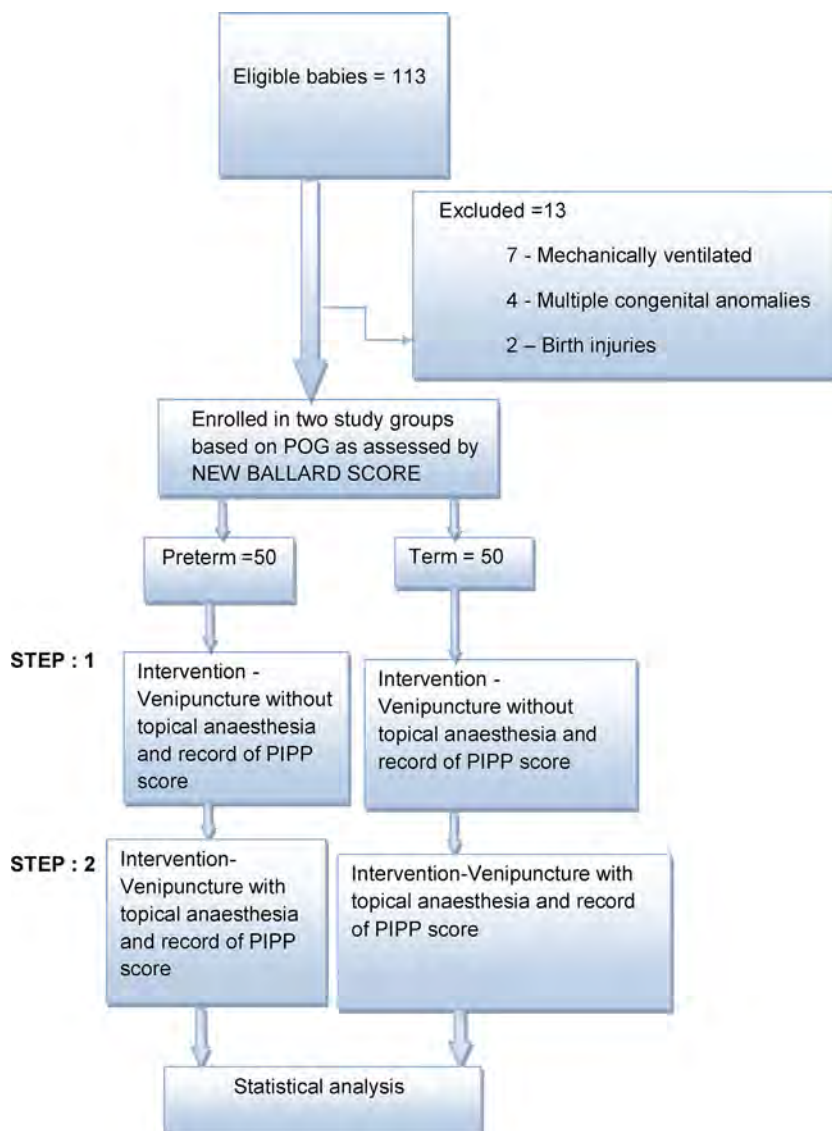


Fig. 1 – Study outline.

weeks. Average age in hours at the time of first venipuncture in preterm group was  $0.60 \pm 0.75$  h and that in term group was  $0.54 \pm 0.90$  h. There were a total of 26 male babies and 24 female babies in preterm group vis-a-vis 22 male babies and 28 female babies in term group. The various causes of NICU admission were prematurity, respiratory distress syndrome, low birth weight, feed intolerance and transient tachypnea of newborn. Average duration of NICU stay was 7 days for preterm and 4 days for term baby. Average frequency of venipuncture during this period was once in 2 days for preterm and once in 3 days for term group. Common indications of venipuncture were cannulation for medication, blood sampling, Intravenous fluid and antibiotic administration. Various components of PIPP score are depicted in Tables 1–6. Total PIPP score of preterm and term study groups was  $11.28 \pm 3.72$  and  $11.54 \pm 2.84$  respectively. There was statistically significant reduction in total PIPP score in both preterm and term study group using 4% lidocaine cream ( $p$  value  $<0.01$ ).

## Discussion

Lack of verbal communication, limited behavioural expression, non-specific physiological responses and inability of the care providers to assess the neonatal pain properly, puts them at risk of frequent painful experiences during NICU admission. Unless measured properly, adequate pain relief policies cannot be formulated. In this study, we have tried to measure the neonatal pain objectively using a validated pain scale, i.e. PIPP. This scale was chosen since it is the only tool that is applicable to measure both preterm and term neonatal pain.<sup>15</sup> Venipuncture is one of the most common minor procedures done in NICU and hence was chosen to study the effect of 4% lidocaine, a relatively new drug to relieve minor procedural pain. The authors did an extensive literature search and found that this is the first study involving use of topical 4% lidocaine for assessing pain response in neonates during venipuncture. Most of the other studies done to assess pain response in

Proforma for Premature Infant Pain Profile Assessment

Hospital identity number: \_\_\_\_\_ Age: \_\_\_\_\_  
 Intervention: \_\_\_\_\_ Sex: \_\_\_\_\_  
 Date: \_\_\_\_\_

Process	Indicator	0	1	2	3	Score
Chart	Gestational Age (at that time)	≥ 36 wks	32 ≤ age < 36	28 ≤ wks < 32	< 28 wks	
Observe Infant 15 seconds Heart rate: Oxygen Saturation:	Behavioural state	Active/Awake Eye open Facial movements Crying with eyes open/closed	Quiet/awake Eyes open No facial movements	Active/sleep Eyes closed Facial movements	Quiet/sleep Eyes closed No facial movements	
Observe infant 30 seconds	Heart rate Max:	0 - 4 beats/ min increase	5 - 14 beats/ min increase	15 - 24 beats/ min increase	25 beats/ min or more increase	
	Oxygen saturation Min:	0% - 2.4% decrease	2.5% - 4.9% decrease	5% - 7.4% decrease	7.5% or more decrease	
	Brow bulge	None 0% - 9% of time(<3sec)	Minimum 10% - 39% of time(≥3 to <12 sec)	Moderate 40% - 69% of time(≥12 to <21)	Maximum 70% of time or more(≥21 sec or more)	
	Eye squeeze	None 0% - 9% of time(<3 sec)	Minimum 10% - 39% of time(≥3 to <12 sec)	Moderate 40% - 69% of time(≥12 to <21 sec)	Maximum 70% of time or more(≥21 sec or more)	
	Nasolabial furrow	None 0% - 9% of time(<3sec)	Minimum 10% - 39% of time(≥3 to <12 sec)	Moderate 40% - 69% of time(≥12 to 21 sec)	Maximum 70% of time or more(≥21 sec or more)	

Score: \_\_\_\_\_



Fig. 2 – PIPP scale components.

neonates during venipuncture or other procedures like administering IM injections, lumbar puncture or heel lancing have used either amethocaine or eutectic mixture of local anaesthetics (EMLA) as the topical anaesthetic of choice for assessing pain response.

In a recent Cochrane review, eight RCTs regarding assessing pain response in neonates were studied and they compared either EMLA and placebo or amethocaine and placebo. Both EMLA and amethicone were found useful to relieve minor procedural pain like venipuncture or heel lancing.<sup>16</sup> However, EMLA carried the risk of methemoglobinemia for preterm neonates. Local swelling, redness or blanching was higher with use of EMLA.<sup>16</sup> In our study, we

did not encounter any such local skin reaction to 4% lidocaine cream. Detailed analysis of the results of our study showed that most of the babies under study were found in stage two or stage one behaviour state. Mean score in preterm group before and after anaesthesia was 1.86 ± 0.98 and 1.82 ± 1.17 respectively and in term group was 2.16 ± 1.07 and 1.82 ± 1.17 respectively. There was no significant change in behaviour state in both study groups and the results were not statistically significant with topical anaesthetic agent. Mean HR score in preterm group before and after applying topical anaesthetic agent was 16.02 ± 9.21 and 15.36 ± 8.99 beats/min respectively and in term group was 16.60 ± 8.70 and 11.84 ± 7.82 beats/min respectively. Topical anaesthetic agent was effective in

**Table 1 – Behaviour state score of preterm and term groups.**

Behaviour state score (mean $\pm$ 2SD)	Preterm mean $\pm$ 2SD	Term mean $\pm$ 2SD	Total (n = 100)	p value	
				Preterm	Term
Before anaesthesia	1.86 $\pm$ 0.98	2.16 $\pm$ 1.07	50	0.15	0.15
After anaesthesia	1.82 $\pm$ 1.17	1.82 $\pm$ 1.17	50	0.80	0.80

**Table 2 – Heart rate score in preterm and term groups.**

Increase in HR score (beats/min)	Preterm mean $\pm$ 2SD	Term mean $\pm$ 2SD	Total (n = 100)	p value	
				Preterm	Term
Before anaesthesia	16.02 $\pm$ 9.21	16.60 $\pm$ 8.70	50	0.72	0.01
After anaesthesia	15.36 $\pm$ 8.99	11.84 $\pm$ 7.82	50	0.72	0.01

**Table 3 – O<sub>2</sub> saturation score of preterm and term groups.**

Decrease in O <sub>2</sub> saturation (%)	Preterm mean $\pm$ 2SD	Term mean $\pm$ 2SD	TOTAL (n = 100)	p value	
				Preterm	Term
Before anaesthesia	2.90 $\pm$ 1.61	2.52 $\pm$ 1.13	50	.001	0.23
After anaesthesia	1.78 $\pm$ 2.35	2.30 $\pm$ 0.97	50	.001	0.30

**Table 4 – Brow bulge and eye squeeze score of preterm and term group.**

	Preterm mean $\pm$ 2SD	Term mean $\pm$ 2SD	Total (n = 100)	p value	
				Preterm	Term
<i>Brow bulge score (s)</i>					
Before anaesthesia	15.70 $\pm$ 8.64	19.22 $\pm$ 7.49	50	0.23	0.006
After anaesthesia	13.60 $\pm$ 8.93	14.54 $\pm$ 8.98	50	0.23	0.006
<i>Eye squeeze score (s)</i>					
Before anaesthesia	16.64 $\pm$ 9.09	18.58 $\pm$ 7.69	50	0.17	0.05
After anaesthesia	14.14 $\pm$ 9.29	15.42 $\pm$ 8.48	50	0.17	0.05

**Table 5 – Nasolabial furrow score of preterm and term group.**

Nasolabial furrow squeeze (s)	Preterm mean $\pm$ 2SD	Term mean $\pm$ 2SD	Total (n = 100)	p value	
				Preterm	Term
Before anaesthesia	16.52 $\pm$ 9.46	18.56 $\pm$ 8.63	50	0.22	0.01
After anaesthesia	14.28 $\pm$ 8.82	14.14 $\pm$ 8.34	50	0.22	0.01

**Table 6 – Total PIPP score of preterm and term group.**

Total score	Preterm mean $\pm$ 2SD	Term mean $\pm$ 2SD	Total (n = 100)	p value	
				Preterm	Term
Before anaesthesia	11.28 $\pm$ 3.72	11.54 $\pm$ 2.84	50	0.01	0.001
After anaesthesia	9.58 $\pm$ 3.39	9.04 $\pm$ 2.97	50	0.01	0.001

reducing mean HR score in term group and results were highly significant statistically.

There was statistically highly significant effect on fall in oxygen saturation score in preterm group with score of  $2.90 \pm 1.61$  before topical anaesthesia and  $1.78 \pm 2.35$  after application of topical anaesthetic agent. However the results were not significant in term group with mean score of  $2.52 \pm 1.13$  before topical anaesthesia and  $2.30 \pm 0.97$  after topical anaesthesia. There was statistically highly significant reduction in brow bulge score of term group after topical anaesthesia with mean score of  $19.22 \pm 7.49$  before and  $14.54 \pm 8.98$  after anaesthesia. However the results were not significant in preterm group with mean score of  $15.70 \pm 8.64$  before and  $13.60 \pm 8.93$  after topical anaesthesia.

There was statistically significant reduction in eye squeeze score in term study group with topical anaesthetic agent. Mean score was  $18.58 \pm 7.69$  before and  $15.42 \pm 8.48$  after topical anaesthesia in term group. However the results were not statistically significant in preterm group with mean score of  $16.64 \pm 9.09$  before and  $14.14 \pm 9.29$  after topical anaesthesia. There was no statistically significant reduction in nasolabial furrow score in preterm group with score of  $16.52 \pm 9.46$  before and  $14.28 \pm 8.82$  after topical anaesthetic agents. However the results were statistically highly significant in term group with mean score of  $18.56 \pm 8.63$  before and  $14.14 \pm 8.34$  after topical anaesthesia.

Total PIPP score in preterm and term study groups before and after topical anaesthetic agent was  $11.28 \pm 3.72$ ,  $9.58 \pm 3.39$  and  $11.54 \pm 2.84$ ,  $9.04 \pm 2.97$  respectively. PIPP score was higher in term study group as compared to preterm group before applying topical anaesthetic agent. This may be attributable to more mature brain resulting in better perception of pain by the term neonate. Moreover, facial expression of term neonates are better perceived compared to preterm neonates. This is in line with the findings of our study. Preterm neonates exhibited much of their pain by more changes in physiological responses as compared to behavioural cues. There was reduction in mean PIPP score after using topical anaesthetic agent in both study groups and the results were significant statistically in preterm group and term group.

Though objective assessment of pain of neonates is difficult, still the issue is garnering more attention in recent times due to possible adverse sequelae. Our study results have shown that neonates undergo significant amount of pain during venipuncture and topical anaesthetic agent 4% lignocaine can help reduce such pain.

## Conclusion and recommendation

1. This study concludes that neonates undergo significant amount of pain during venipuncture during their NICU stay and use of topical 4% lignocaine reduces the pain of venipuncture in both preterm and term neonates.
2. The study recommends that pain scoring should be a part of routine monitoring in neonatal intensive care units and hence adequate training should be provided to healthcare providers to assess pain in neonates. Each neonatal

intensive care unit should formulate a policy to provide adequate pain relief. This should include minimizing the number of painful exposures as much as possible and use of measures like 4% lidocaine to relieve minor procedural pain.

## Conflicts of interest

The authors have none to declare.

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